



February 14, 2006

Board Agenda Item 6.3.3
Mtg Date: February 23, 2006

Frank R. Furger, Deputy Director
Alameda County Congestion Management Agency
1333 Broadway Suite 220
Oakland, CA 94612

**Subject: Quarterly Project Monitoring Report
2004 STIP – Locally Sponsored Projects – Alameda County
Draft At Risk Report – January 2006**

Dear Mr. Furger:

Enclosed is the Draft At Risk Report dated January 2006. There are 17 locally sponsored STIP funded projects segregated by "zone." In addition to those 17 projects, there are 17 projects listed under "Final Invoice" that are not assigned to a zone. The Report includes a total of 34 projects being monitored by the Project Monitoring Team (PMT). Once the project sponsor provides a copy of the Final Invoice to the PMT, the project is moved to the list of Completed Projects at the end of the report.

Red zone projects are considered at a relatively high risk of non-compliance with the timely use of funds provisions of the STIP. Some of these provisions potentially threaten the availability of the STIP funds. Yellow zone projects are considered at moderate risk, and green zone at low risk. The criteria for determining the project zone are listed in the tables. The durations included in the criteria are intended to provide adequate time for project sponsors to perform the required activities to meet the deadline(s). A project may have multiple risk factors that indicate multiple zones. The risk zone associated with each risk factor is indicated in the tables. Projects with multiple risk factors are listed in the zone of higher risk.

The PMT requests copies of certain documents related to the required activities as proof that the deadlines have been met. Typically, the documentation requested by the PMT are copies of documents submitted by the sponsor to other agencies involved with transportation funding such as Caltrans, MTC, and the CTC. The one exception is the documentation requested for the "Complete Expenditures" deadline which does not have a corresponding requirement from the other agencies. Sponsors must provide documentation supported by their accounting department as proof that the Complete Expenditures deadline has been met.

The information presented in the report is based on the information made available to the Project Monitoring Team. This information stems from the project sponsors as well as other funding agencies such as Caltrans, MTC and the CTC.

If you have any questions regarding the enclosed report, please contact me at (510) 502-4357.

Sincerely,
ADVANCE PROJECT DELIVERY INC.

James P. O'Brien

Enc.

**130 Bush Street, Floor 5
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**San Francisco, CA 94104
Fax (415) 296-8343**

Red Zone Projects

| Index | PP No. | Sponsor | Project Title | | | | | | | |
|-------|--------|------------------------------|-------------------------------|-------|----------------|------------------|------|------------------------|--------------|---|
| | Source | Prog'd Amount (\$x 1,000) | Phase | FY | Req'd Activity | Date Req'd By | Zone | Notes | Prev Zone | |
| 1 | 2110 | Union City | Union City Intermodal Station | | | | | | | |
| | TE | \$720 | Con | 05/06 | Allocate | 6/30/06 | R | Extension Req. Pending | Y | |
| | TE | \$5,307 | Con | 05/06 | Allocate | 6/30/06 | R | Extension Req. Pending | Y | |
| | RIP | \$4,004 | Con | 07/08 | Allocate | 6/30/08 | G | | | G |
| | RIP | \$2,283 | Con | 08/09 | Allocate | 6/30/09 | G | | | G |

Yellow Zone Projects

There are no Yellow Zone projects this report

Green Zone Projects

| Index | PP No. | Sponsor | Project Title | | | | | | | |
|-------|--------|------------------------------|---|-------|-----------------|------------------|------|------------------------|--------------|---|
| | Source | Prog'd Amount (\$x 1,000) | Phase | FY | Req'd Activity | Date Req'd By | Zone | Notes | Prev Zone | |
| 2 | 2009A | AC Transit | Maintenance Facilities Upgrade | | | | | | | |
| | RIP | \$3,705 | Con | 07/08 | Allocate | 6/30/08 | G | | | G |
| 3 | 2009B | AC Transit | SATCOM Expansion | | | | | | | |
| | RIP | \$1,000 | Con | 07/08 | Allocate | 6/30/08 | G | | | G |
| 4 | 2009C | AC Transit | Berkeley/Oakland/San Leandro Corridor MIS | | | | | | | |
| | RIP | \$2,700 | PS&E | 06/07 | Allocate | 6/30/07 | G | | | G |
| 5 | 2009D | AC Transit | Bus Component Rehabilitation | | | | | | | |
| | RIP | \$4,500 | Con | 07/08 | Allocate | 6/30/08 | G | | | G |
| 6 | 2179 | ACCMA | Planning, Programming and Monitoring | | | | | | | |
| | RIP | \$111 | Con | 06/07 | Allocate | 6/30/07 | G | | | G |
| | RIP | \$111 | Con | 07/08 | Allocate | 6/30/08 | G | | | G |
| | RIP | \$110 | Env | 05/06 | Comp Expend | 6/30/08 | G | \$110K Alloc'd 7/14/05 | | G |
| | RIP | \$195 | Con | 08/09 | Allocate | 6/30/09 | G | | | G |
| 7 | A0157G | ACCMA | I-680 Sunol Grade Soundwalls | | | | | | | |
| | RIP | \$10,252 | Con | | Accept Contract | 2/26/07 | G | Awarded 2/26/04 | | G |
| 8 | 2009L | ACCMA | Vasco Road Safety Improvements | | | | | | | |
| | RIP | \$1,400 | Con | 08/09 | Allocate | 6/30/09 | G | | | G |
| 9 | 2009N | Alameda | Tinker Avenue Extension | | | | | | | |
| | RIP | \$4,000 | Con | 08/09 | Allocate | 6/30/09 | G | | | G |

Green Zone Projects -continued on next page

Green Zone Projects -continued from previous page

| Index | PP No. | Sponsor | Project Title | | | | | | | |
|-------|--------|------------------------------|--|-------|----------------|------------------|------|------------------------|--------------|--|
| | Source | Prog'd Amount (\$x 1,000) | Phase | FY | Req'd Activity | Date Req'd By | Zone | Notes | Prev Zone | |
| 10 | 2009F | BART | Lake Merritt Channel Subway Repair | | | | | | | |
| | RIP | \$2,000 | Con | 07/08 | Allocate | 6/30/08 | G | | G | |
| 11 | 2009G | BART | BART Stations Platform Edge Tiles | | | | | | | |
| | RIP | \$1,248 | Con | 07/08 | Allocate | 6/30/08 | G | | G | |
| 12 | 2103 | BART | BART Oakland Airport Connector | | | | | | | |
| | RIP | \$23,000 | Con | 08/09 | Allocate | 6/30/09 | G | \$10M ITIP, Con 08/09 | G | |
| 13 | 2020 | Emeryville | Emeryville Intermodal Transfer Station | | | | | | | |
| | RIP | \$2,110 | Con | 08/09 | Allocate | 6/30/09 | G | \$4.2M ITIP, Con 08/09 | G | |
| 14 | 2009K | LAVTA | Satellite Bus Operating Facility | | | | | | | |
| | RIP | \$4,000 | Con | 08/09 | Allocate | 6/30/09 | G | | G | |
| 15 | 2100 | MTC | Planning, Programming and Monitoring | | | | | | | |
| | RIP | \$110 | Con | 06/07 | Allocate | 6/30/07 | G | | G | |
| | RIP | \$111 | Con | 07/08 | Allocate | 6/30/08 | G | | G | |
| | RIP | \$110 | Env | 05/06 | Comp Expend | 6/30/08 | G | \$110K Alloc'd 7/14/05 | G | |
| 16 | 2100A | MTC | Planning, Programming and Monitoring | | | | | | | |
| | RIP | \$86 | Con | 06/07 | Allocate | 6/30/07 | G | | G | |
| 17 | 1022 | Oakland | Rte. 880 Access at 42 nd Ave./High St., APD | | | | | | | |
| | RIP | \$3,130 | R/W | 07/08 | Allocate | 6/30/08 | G | | G | |

Final Invoice

The STIP Timely Use of Funds provisions include requirements for submittal of a Final Report of Expenditures (including the Final Invoice) following the completion of expenditures for the ENV, PSE and RW phases and following contract acceptance for the CON phase. The requirements are as follows: The Final Report of Expenditures (including Final Invoice) for ENV, PSE, and RW phase is due 180 days after the end of the fiscal year in which the last expenditure occurred; and is due 180 days after contract acceptance for the CON phase. For the purposes of the ACCMA's Project Monitoring, a STIP project is not reported as complete until the ACCMA Project Monitoring Team receives a copy of the Final ROE. The ACCMA Project Monitoring Team does not track the Final ROE deadline by date, only by whether or not a copy of the Final ROE has been received at the ACCMA. The following list is provided as a reminder to project sponsors to submit the Final ROE to Caltrans and a copy to the ACCMA Project Monitoring Team.

| Index | PP No. | Sponsor | Project Title | Prog'd Amount (\$ x 1,000) | Phase | FY | Notes |
|-------|--------|-------------|---|-------------------------------|------------|-------|--|
| 18 | 0321D | AC Transit | Wheelchair Securement Retrofit | \$601 | Con | 01/02 | FTA to notify FHWA of final costs Project still open as of 9/05 |
| 19 | 1023 | AC Transit | Bus Rehabilitation | \$22,425 | Con | 00/01 | FTA to notify FHWA of final costs Project complete per AC Transit |
| 20 | 2105 | AC Transit | San Pablo Avenue Corridor Bus Purchase | \$7,575 | Con | 00/01 | FTA to notify FHWA of final costs Project still open as of 9/05 |
| 21 | 2113 | AC Transit | Engine/Transmission Rehab | \$658 | Con | 01/02 | FTA to notify FHWA of final costs Project complete per AC Transit |
| 22 | 2113A | AC Transit | Engine/Transmission Rehab | \$628 | Con | 01/02 | FTA to notify FHWA of final costs Project complete per AC Transit |
| 23 | 2183 | Ala. County | Fruitvale Bridge Seismic Retrofit | \$975 | PS&E | 00/01 | Expenditures completed during FY 03/04 |
| 24 | 2181 | BART | BART Automatic Fair Collection (SO) | \$723 | Con | 99/00 | FTA to notify FHWA of final costs |
| 25 | 1014 | BART | BART Seismic Retrofit, Seg. 1A | \$10,200 | Env | 00/01 | |
| 26 | 2106 | BART | Fruitvale BART Parking Structure | \$5,692 | Con | 99/00 | |
| 27 | 2103 | BART | BART Oakland Airport Connector | \$10,000 \$5,000 | R/W Con | | FTA to notify FHWA of final costs FTA to notify FHWA of final costs |
| 28 | 0053K | Berkeley | Berkeley Shoreline Bikeway | \$600 | Con | 99/00 | Contract accepted 12/31/03 |
| 29 | 2114 | Dublin | Dublin Blvd Widening | \$1,869 | Con | 01/02 | Project Closeout underway |
| 30 | 2109 | Fremont | Washington Blvd. and Paseo Padre South – Grade Sep's (SO) | \$4,441 | R/W | 01/02 | Expenditures completed during FY 03/04 |

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|-------|--------|-------------------------------|--|-------|-------|---|
| | | Prog'd Amount (\$ x 1,000) | | | | |
| 31 | 0115B | Livermore | Isabel Ave. Interchange, Rte. 580 | Env | 01/02 | Expenditures completed during FY 05/06. Final Invoice due 12/31/06 |
| | | \$4,000 | | | | |
| 32 | 2108 | Oakland | Coliseum Intercity Rail Station (RTIP) | Con | 99/00 | |
| | | \$925 | | | | |
| 33 | 1022 | Oakland | Rte. 880 Access at 42 nd Ave./High St., APD | PS&E | 00/01 | Invoice for Final PSE costs dated 4/25/05 Con funding programmed for R/W |
| | | \$1,000 | | | | |
| 34 | 1013 | Port | Oakland Airport Connector Guideway | Env | 00/01 | Closeout underway |
| | | \$1,142 | | | | |

Completed Projects

Completed Criteria:

Completed STIP projects for which Final Invoice documentation has been provided to the ACCMA; and
 FTA transfer projects reported as complete.

| Index | PP No. | Sponsor | Project Title | Notes |
|-------|--------|-------------|---|----------------------------------|
| 35 | 1003 | Alameda | Express II Ferry Refurbish | Final Invoice dated 5/29/04 |
| 36 | 2184 | Ala. County | Center/E. Castro Valley/150th, Rehab | Final Invoice submitted in '04 |
| 37 | 2185 | Ala. County | Stanley Boulevard Reconstruction | Final Invoice dated 1/13/03 |
| 38 | 2203 | Albany | Buchanan/East Shore/Route 80 Interchange | Final Invoice dated 7/28//04 |
| 39 | 1004 | Berkeley | College Avenue Rehabilitation | Final Invoice dated 9/14/01 |
| 40 | 9047 | Berkeley | I-80 Bicycle/Pedestrian OC (TEA) | Final Invoice dated 3/23/04 |
| 41 | 0119G | Dublin | Tassajara Rd. I/C | Final Invoice dated 10/26/04 |
| 42 | 2190 | Livermore | Portola Ave Reconstruction | Final Invoice submitted |
| 43 | 2192 | Oakland | Oakland City Streets Storm Damage Repair | Final Exp. Report dated 6/30/04 |
| 44 | 2191 | Oakland | 3rd Street Extension | Final Invoice dated 10/28/04 |
| 45 | 2193 | Piedmont | Piedmont City Streets Resurfacing | Final Exp. Report dated 4/4/02 |
| 46 | 0320E | Port | State Route 61/Langley Street Reconstruction | Final Exp. Report dated 11/25/02 |
| 47 | 2194 | Port | Embarcadero – Clay to Franklin Rehabilitation | Final Exp. Report dated 4/21/05 |
| 48 | 2195 | Port | Embarcadero – 5th to 16th Rehabilitation | Final Exp. Report dated 5/20/03 |
| 49 | 2196 | San Leandro | City Streets Rehab | Final Invoice dated 9/24/01 |
| 50 | 2197 | Union City | Union City Streets Rehabilitation | Final Exp. Report Submitted |